

# **Vlasov simulation study of electron acceleration by large amplitude electron Bernstein waves**

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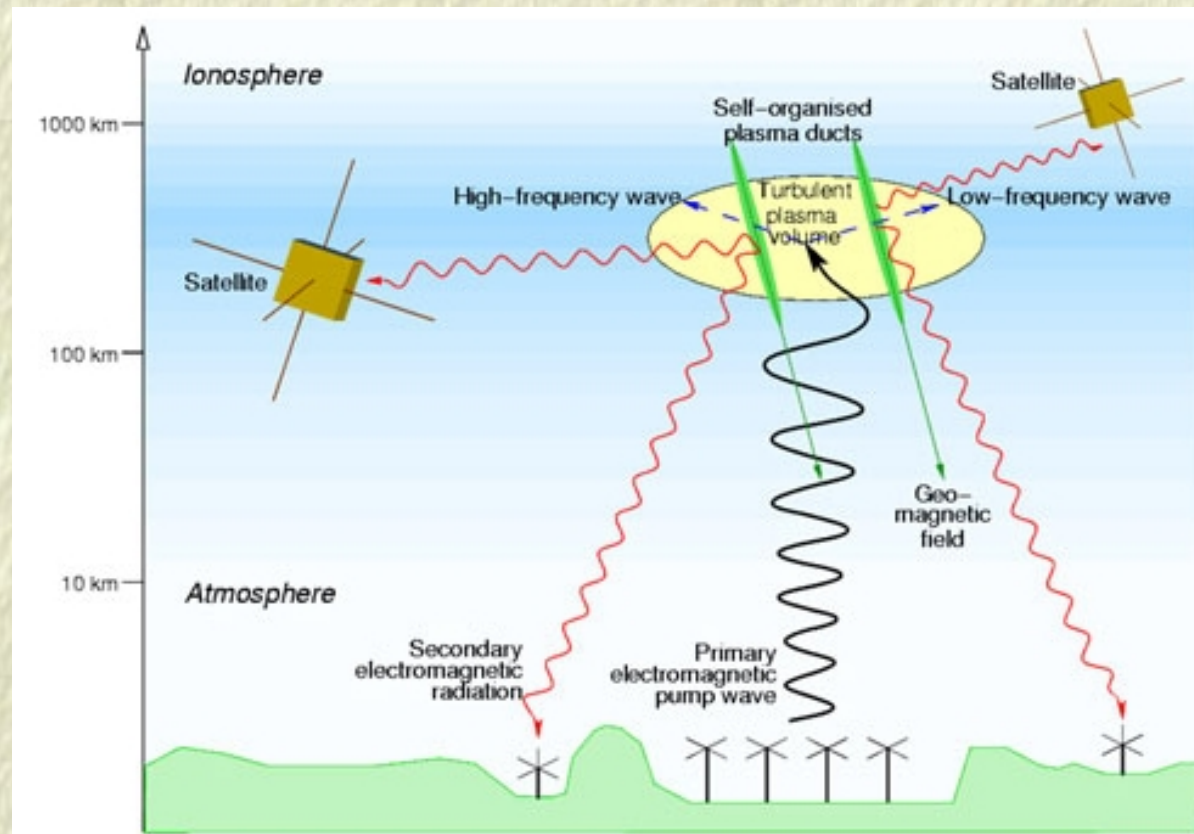
## Outline

- A. Artificial aurora and descending ionospheric fronts in recent heating experiments
- B. High-frequency turbulence induced by large amplitude electromagnetic waves
- C. Anomalous absorption on striations
- D. Stochastic electron heating by large amplitude electron Bernstein waves
- E. Electron acceleration by strong Langmuir turbulence, ionization of neutral gas
- F. Summary



## Sketch of experimental setup

The Earth's ionosphere used as a natural laboratory to study turbulence in an unlimited magnetised plasma.



Diagnostics: Escaping radiation, radars, optical emissions, etc.

Courtesy of Bo Thidé ([www.physics.irfu.se](http://www.physics.irfu.se))



# High Frequency Active Auroral Research Program (HAARP)

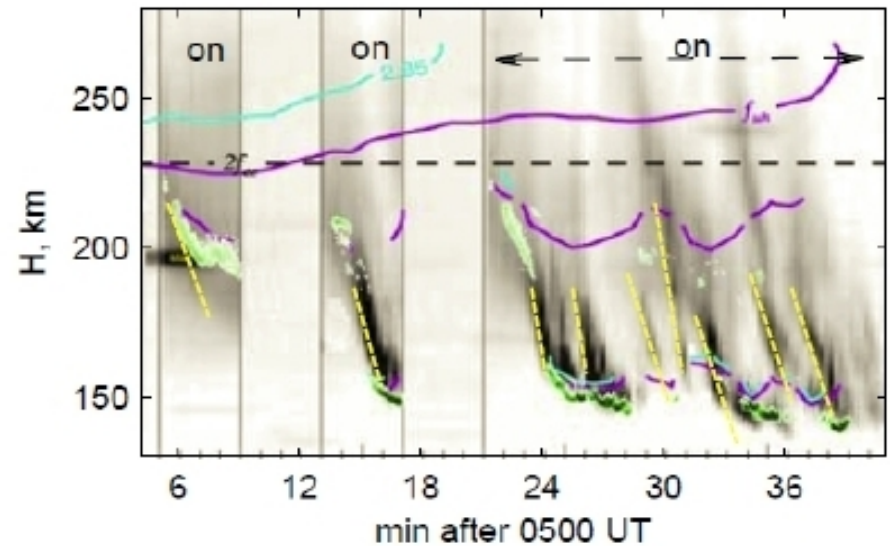
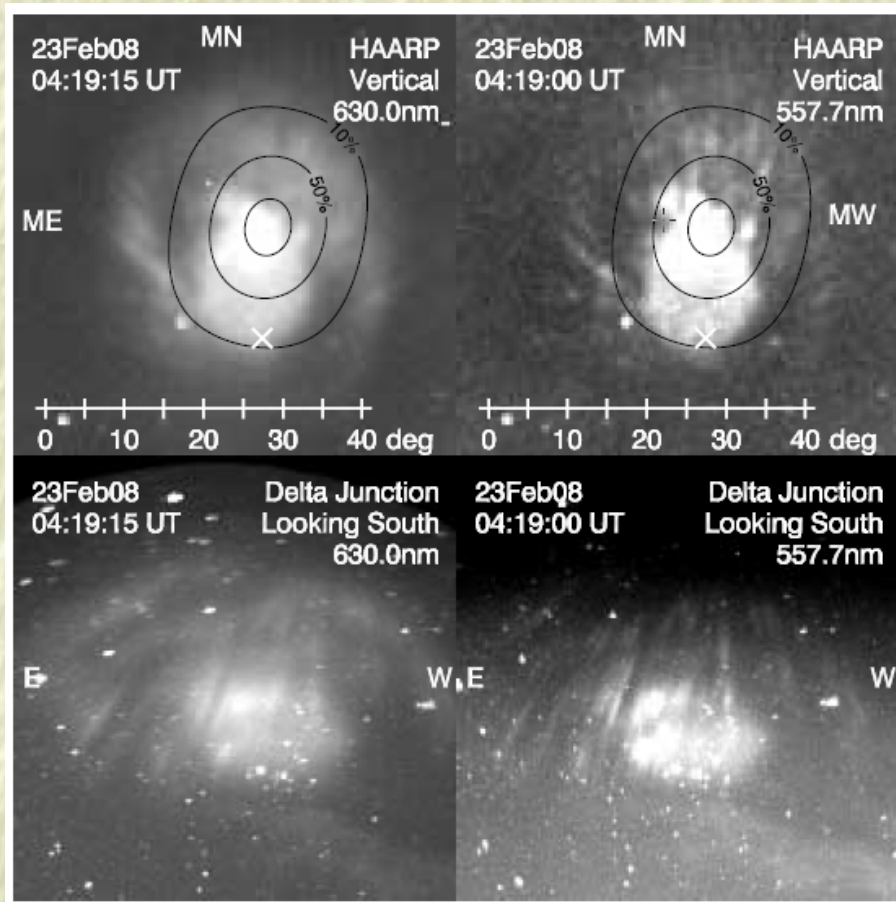


HAARP research station, near Gakona, Alaska

Established 1993, last major upgrade 2007.



# Observations of descending aurora above HAARP



Time-vs-altitude plot of **557.7 nm** optical emissions along  $B$  with contours showing the altitudes where  $f_p = 2.85$  MHz (blue),  $UHR = 2.85$  MHz (violet), and  $2f_{ce} = 2.85$  MHz (dashed white). Horizontal blips are stars. **Shown in green is the Ion Acoustic Line intensity.**

✓the artificial plasma near  $h_{min}$  was quenched several times.

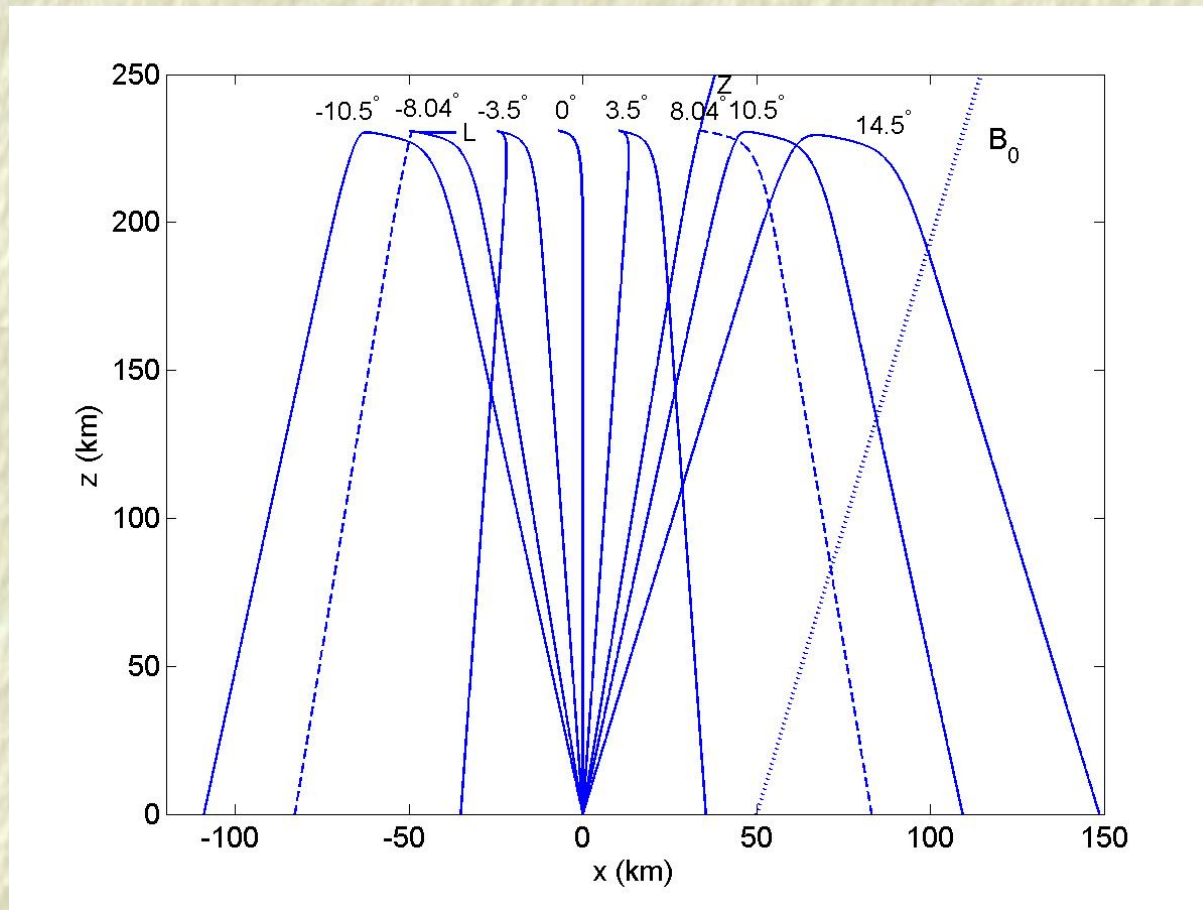
Pedersen, Gustavsson, Mishin *et al.*, *Geophys. Res. Lett.*, 36, L18107 (2009).

Pedersen, Mishin *et al.*, *Geophys. Res. Lett.*, 37, L02106 (2010).

Mishin & Pedersen, *Geophys. Res. Lett.*, 38, L01105 (2011).



## Rays of ordinary (O) mode waves



### Ray-tracing

$$\frac{d\mathbf{k}}{dt} = -\nabla_{\mathbf{r}}\omega$$

$$\frac{d\mathbf{r}}{dt} = \nabla_{\mathbf{k}}\omega$$

Appleton-Hartree  
dispersion relation  
gives  $\omega(\mathbf{k}, \mathbf{r})$

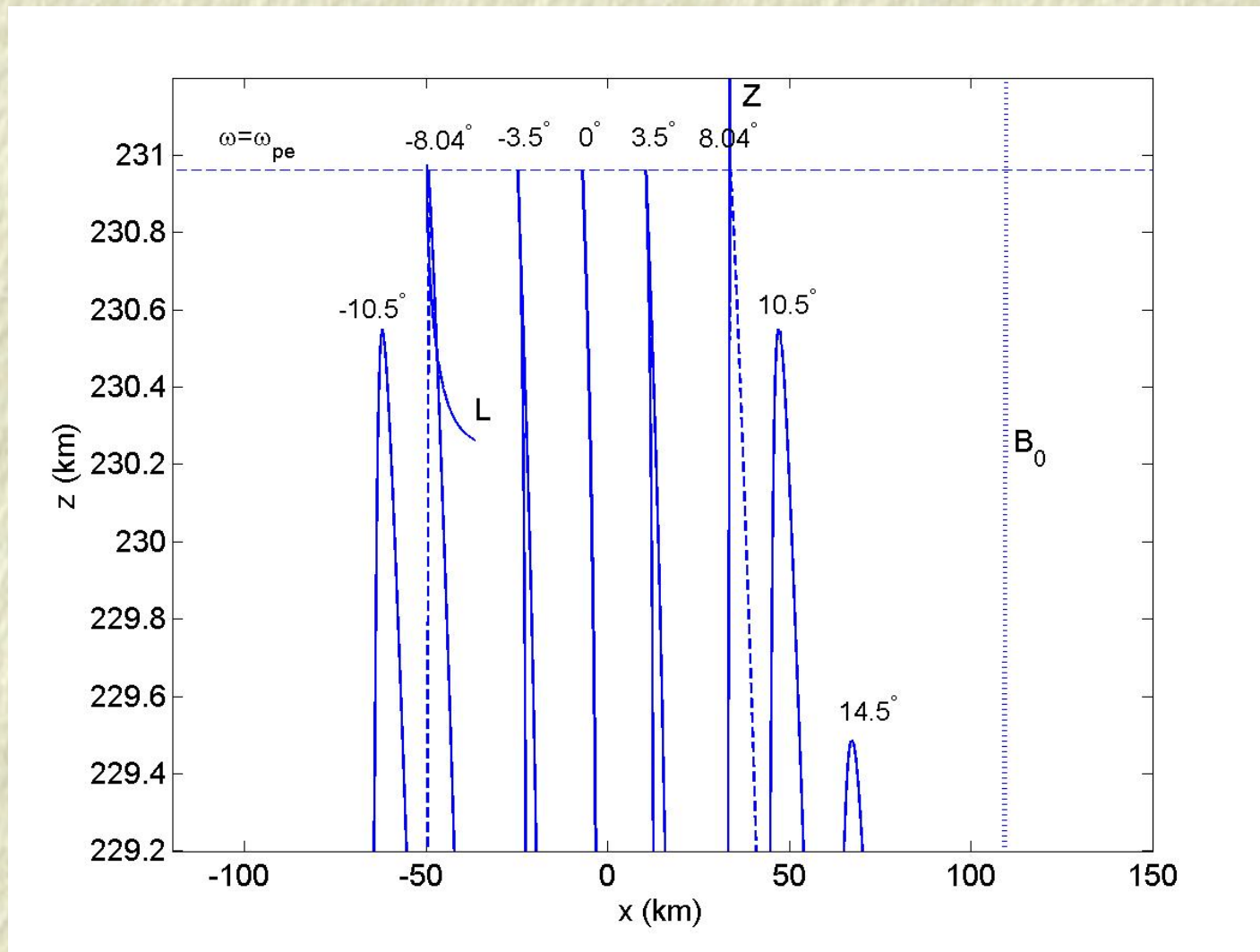
Magnetic field  $\mathbf{B}_0 = 5 \times 10^{-5}$  T, tilted  $\theta = 14.5^\circ$  to vertical. Electron cyclotron frequency  $f_{ce} = 1.4$  MHz.

$f_0 = 3.2$  MHz transmitted frequency,  $\sim 100$  m vacuum wavelength.

Ordinary mode waves are reflected near the critical layer where  $\omega = \omega_{pe}$ .



## Rays closeup near reflection point



Rays within the Spitzer region  $\chi_S = \pm \arcsin[\sqrt{Y/(1+Y)} \sin(\theta)] \approx \pm 8.04^\circ$  reach the critical layer.

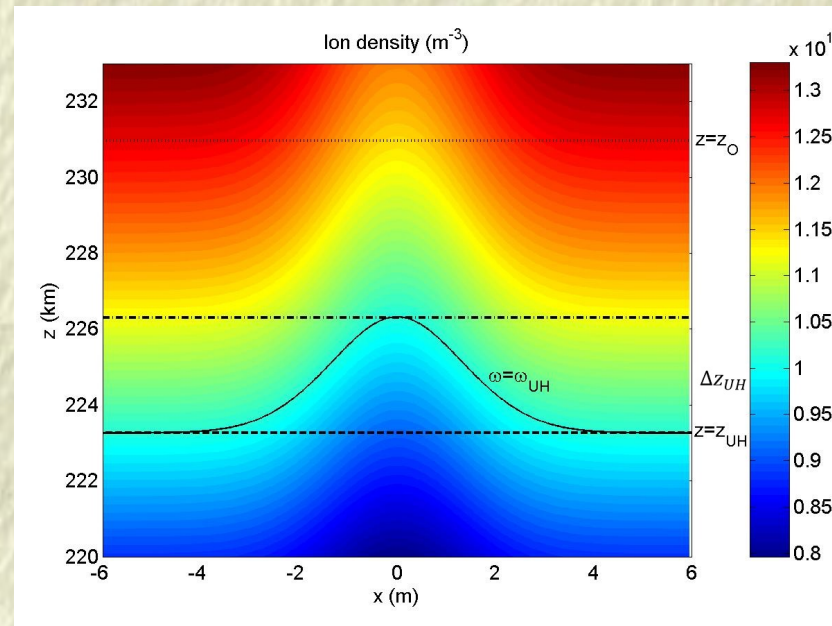


## Anomalous absorption of electromagnetic waves

- ❑ It is observed that O mode radio waves injected along the magnetic field lines become absorbed by the ionosphere after about one second of heating
- ❑ Happens when the transmitted frequency is below the maximum upper hybrid frequency of the ionosphere
- ❑ Believed to be due to mode conversion to upper hybrid waves on density striations created due to thermal instability



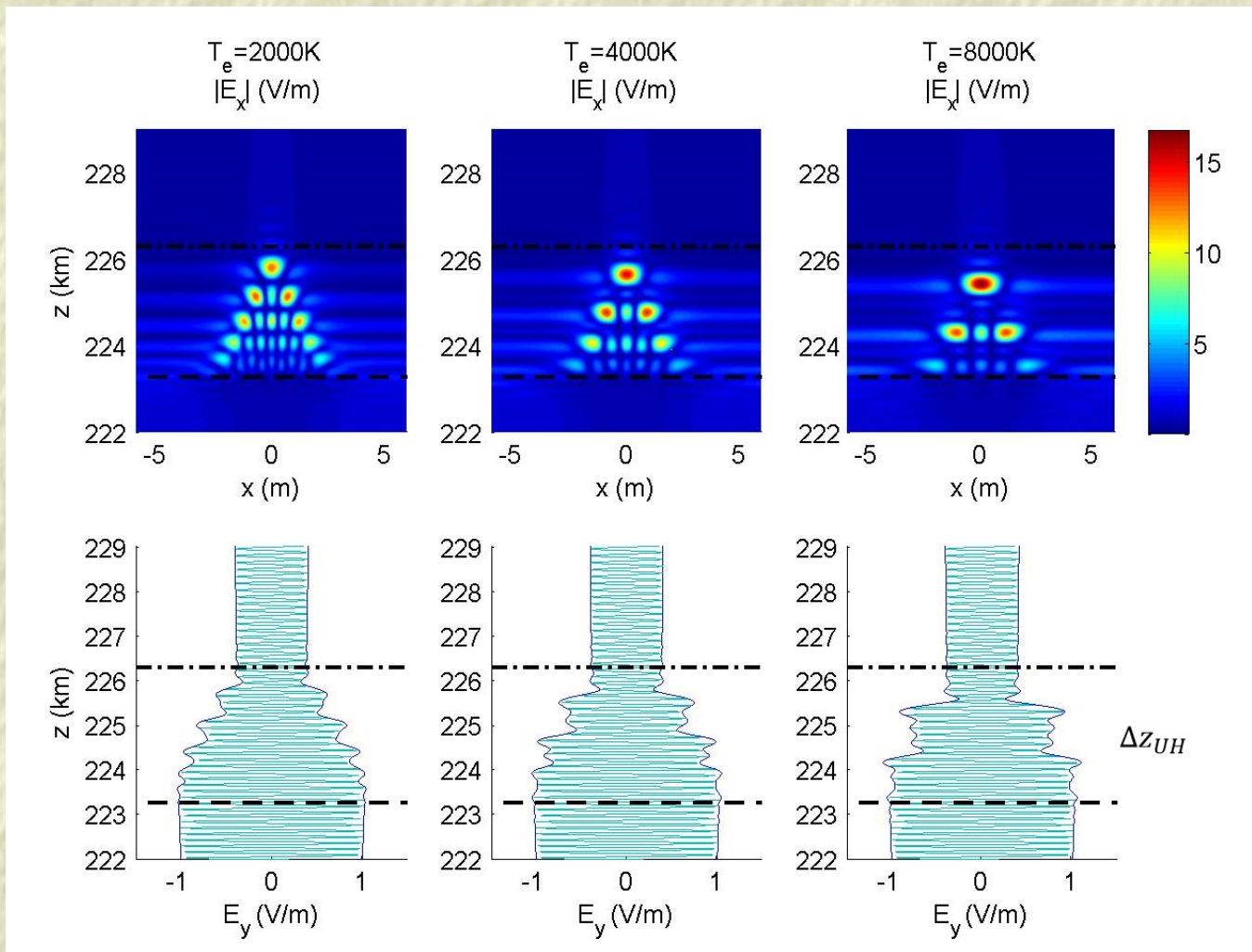
## Conversion O mode to upper hybrid waves



- ❑ O mode waves reflected at critical altitude  $z = z_O$  where  $\omega = \omega_{pe}$ .
- ❑ Solid lines: Where locally  $\omega = \omega_{UH}$  mode conversion O mode to upper hybrid waves can take place.
- ❑ Full-wave simulations to study the coupling between O mode and UH waves. Coordinate system such that  $z$ -axis along the magnetic field.

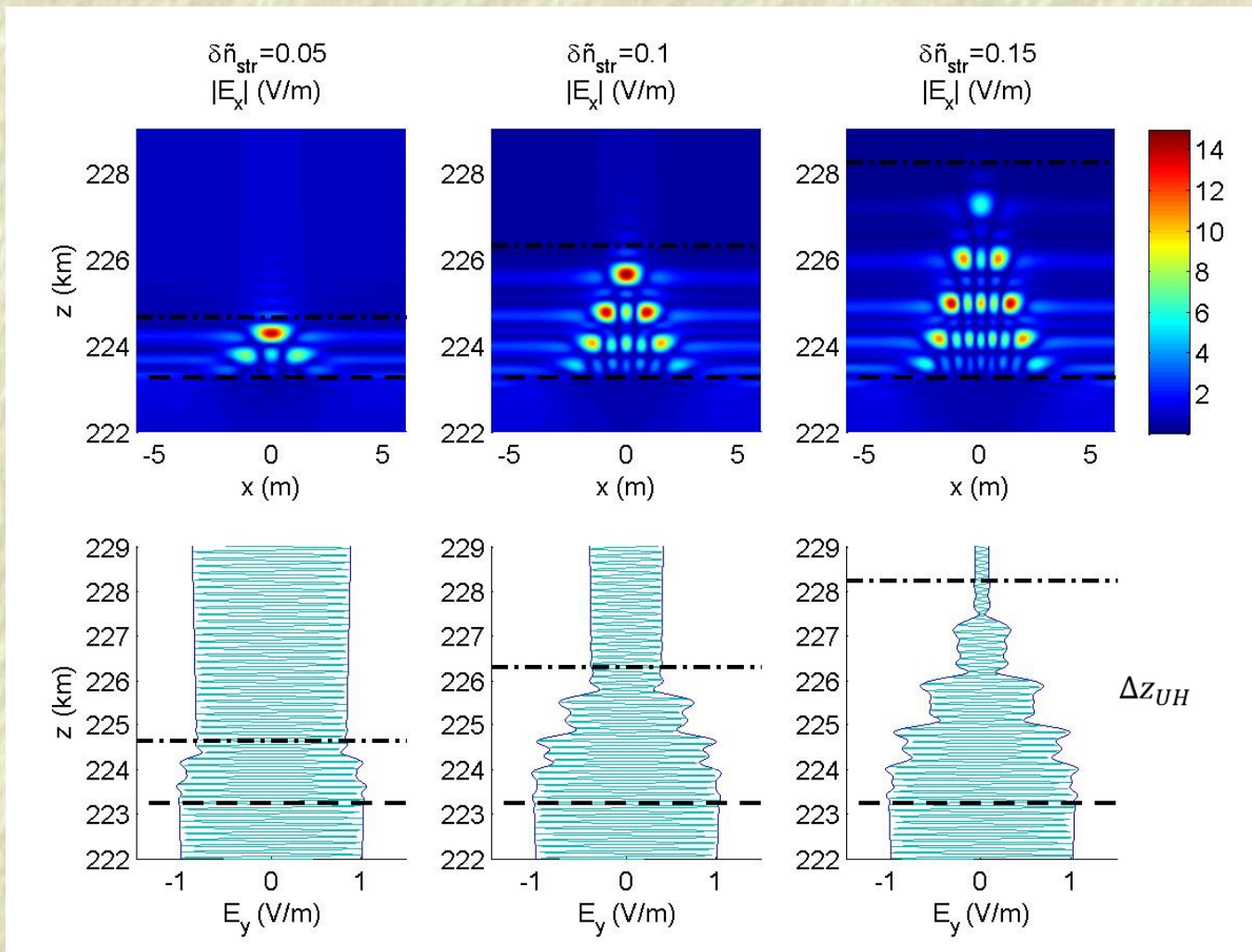
Eliasson & Papadopoulos, Geophys. Res. Lett. **42**, 2603 (2015).





Excitations of UH waves (top) at quantized heights where UH frequency matches resonance frequency (Sturm-Liouville problem). Absorption of O mode wave (bottom) not dependent on electron temperature.



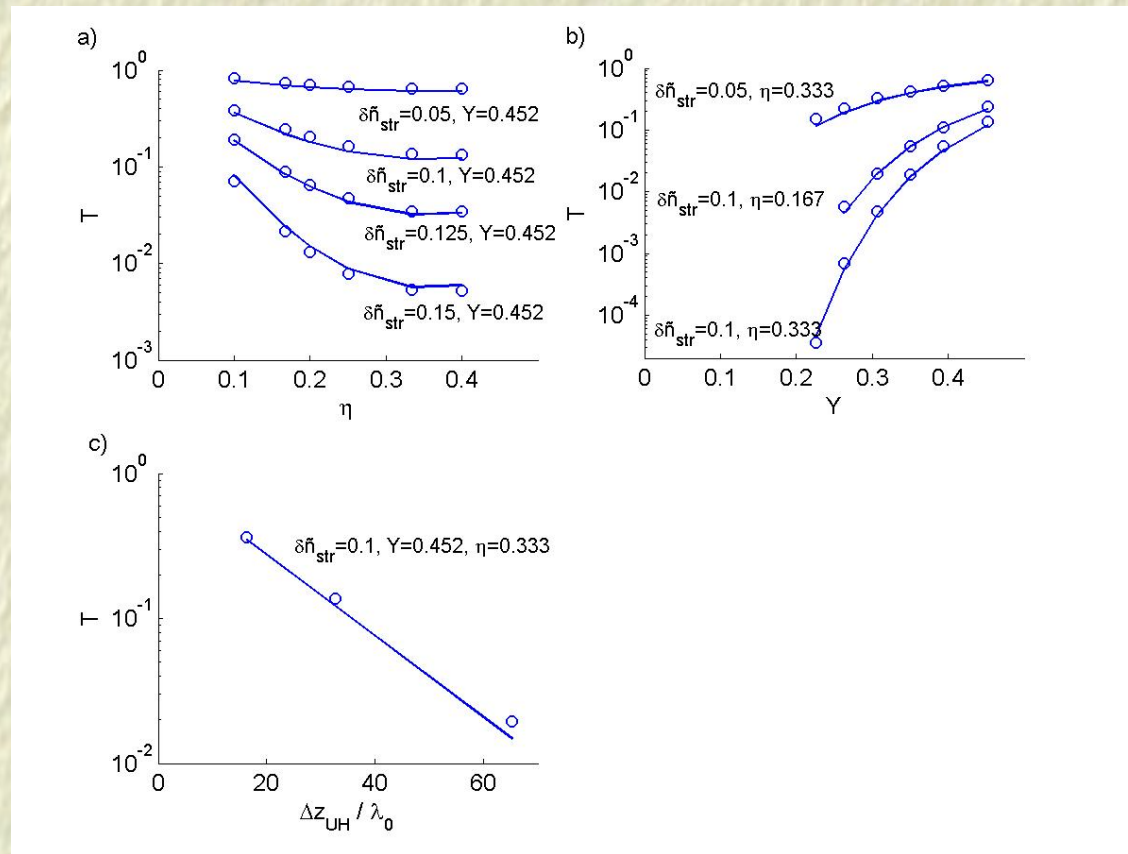


Absorption strongly dependent on striation depth.

Increased absorption with decreasing magnetic field and with increasing plasma length-scale and density of striations.



## Expression for transmission coefficient



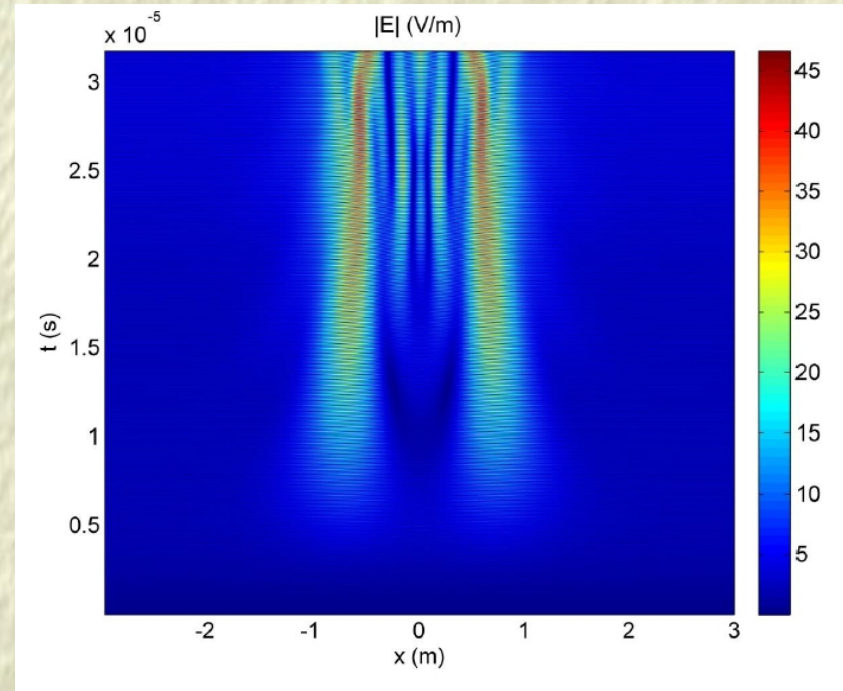
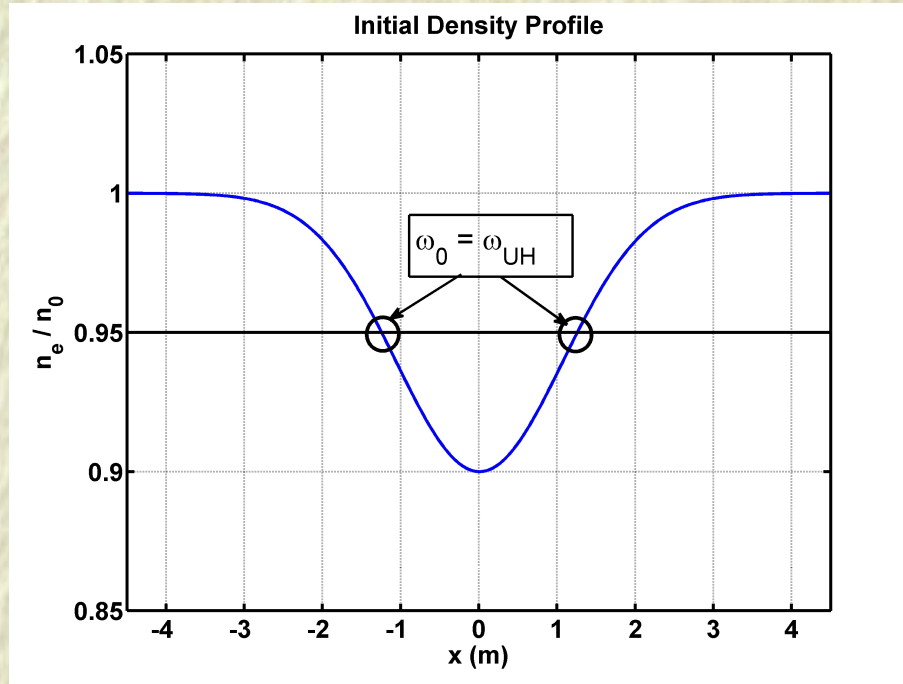
Comparison simulation results (circles) and numerical fit to expression

$$T = \exp \left[ - 3.24 \delta\tilde{n}_{str} \frac{\Delta z_{UH}}{\lambda_0} (\eta - 1.4\eta^2) \left( \frac{1}{Y} - 1.09 \right) \right], \quad Y = \frac{\omega_{ce}}{\omega_0}$$

Eliasson & Papadopoulos, Geophys. Res. Lett. **42**, 2603 (2015).



# Vlasov simulations: Mode conversion to UH waves



$$\frac{\partial f_\alpha}{\partial t} + v_x \frac{\partial f_\alpha}{\partial x} + \frac{q_\alpha}{m_\alpha} (\hat{\mathbf{x}}(E + E_{ext}) + \mathbf{v} \times B_0 \hat{\mathbf{z}}) \cdot \nabla f_\alpha = 0$$

$$\frac{\partial E}{\partial x} = \frac{e}{\epsilon_0} \int (f_i - f_e) d^2v$$

$E_{ext} = E_0 \sin(\omega_0 t)$ , Dipole oscillating field representing the O mode.

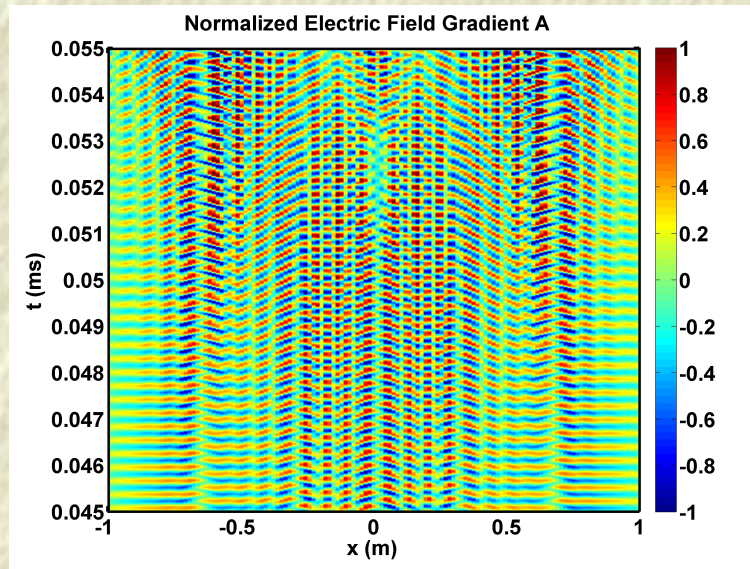
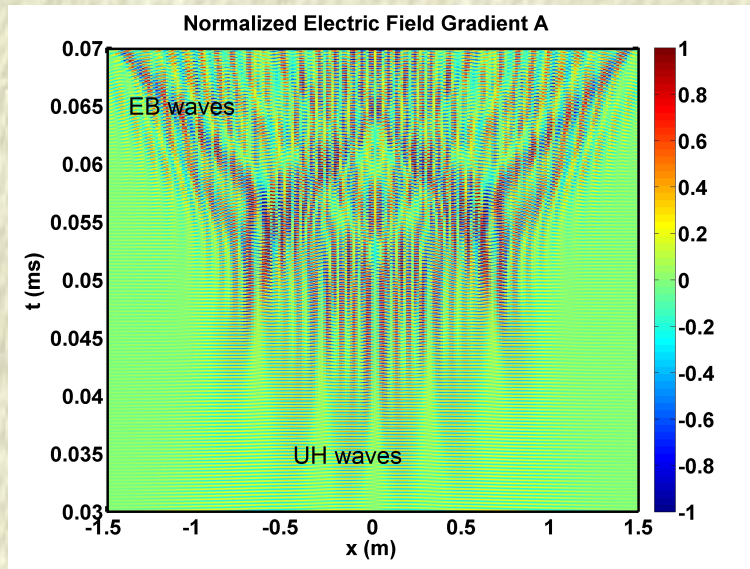
$E_0 = 2$  V/m. Hydrogen ions.

- Mode-converted upper hybrid (UH) waves ( $\sim 50$  cm) trapped in striation.



## Mode conversion to UH waves, generation of EB waves

$$A = \frac{m_e}{eB_0^2} \frac{\partial E_x}{\partial x} \quad \text{Normalized electric field gradient}$$

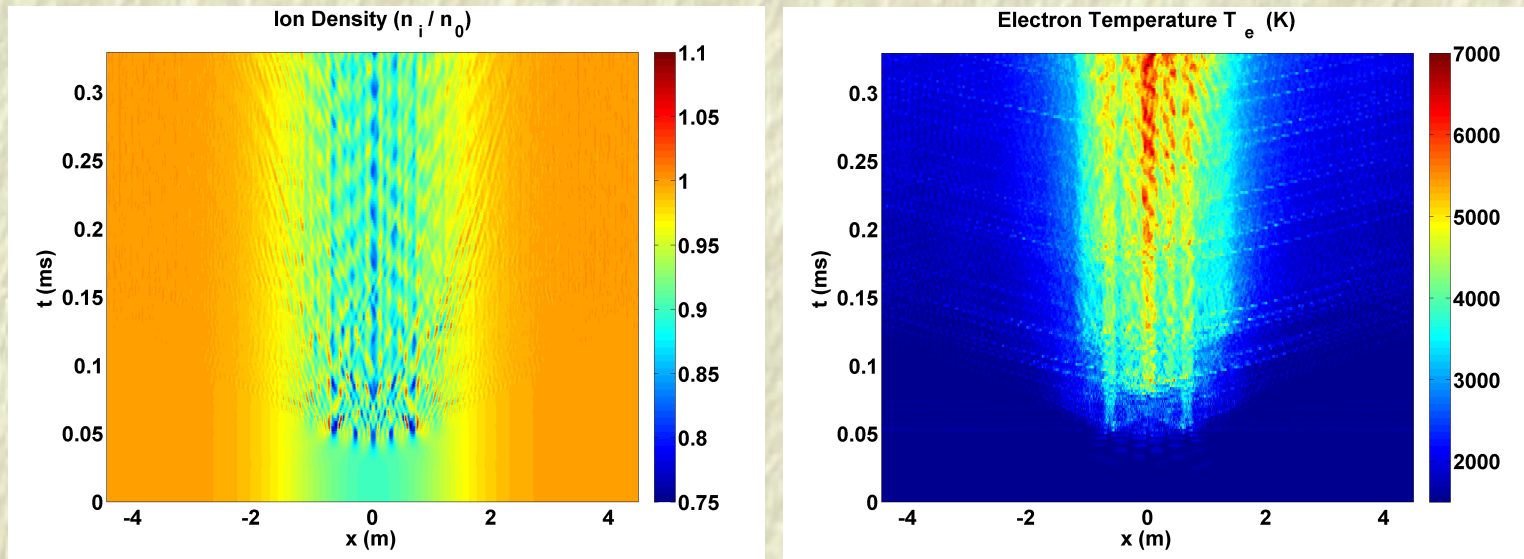


- Short wavelength electron Bernstein (EB) waves ( $\sim 10$  cm) excited and leaving the striations.
- Amplitude  $|A| > 1$  exceeds threshold for stochastic heating.

A. Najmi, B. Eliasson et al., Radio Science (in press 2016).



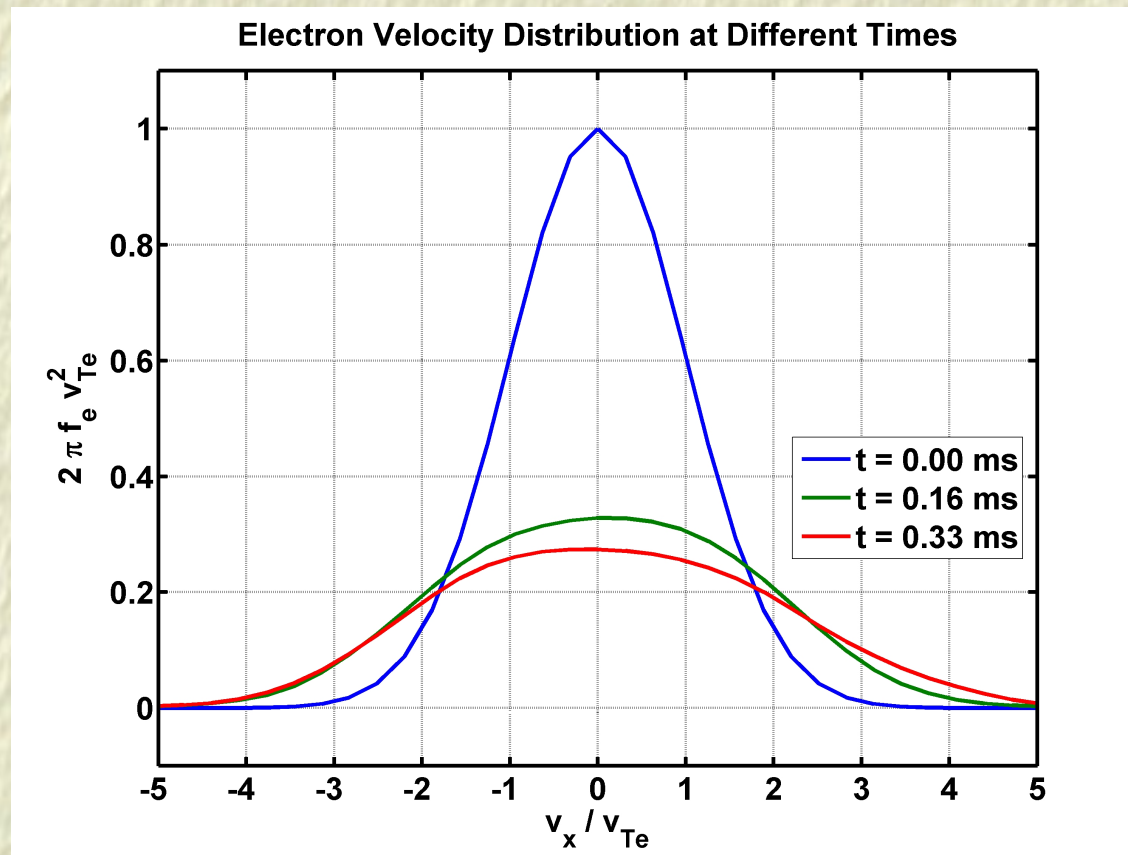
## Lower hybrid oscillations and electron heating



- Lower hybrid (LH) waves form standing wave pattern.
- Electron temperature rises to about 7000 K in the center of the striation.



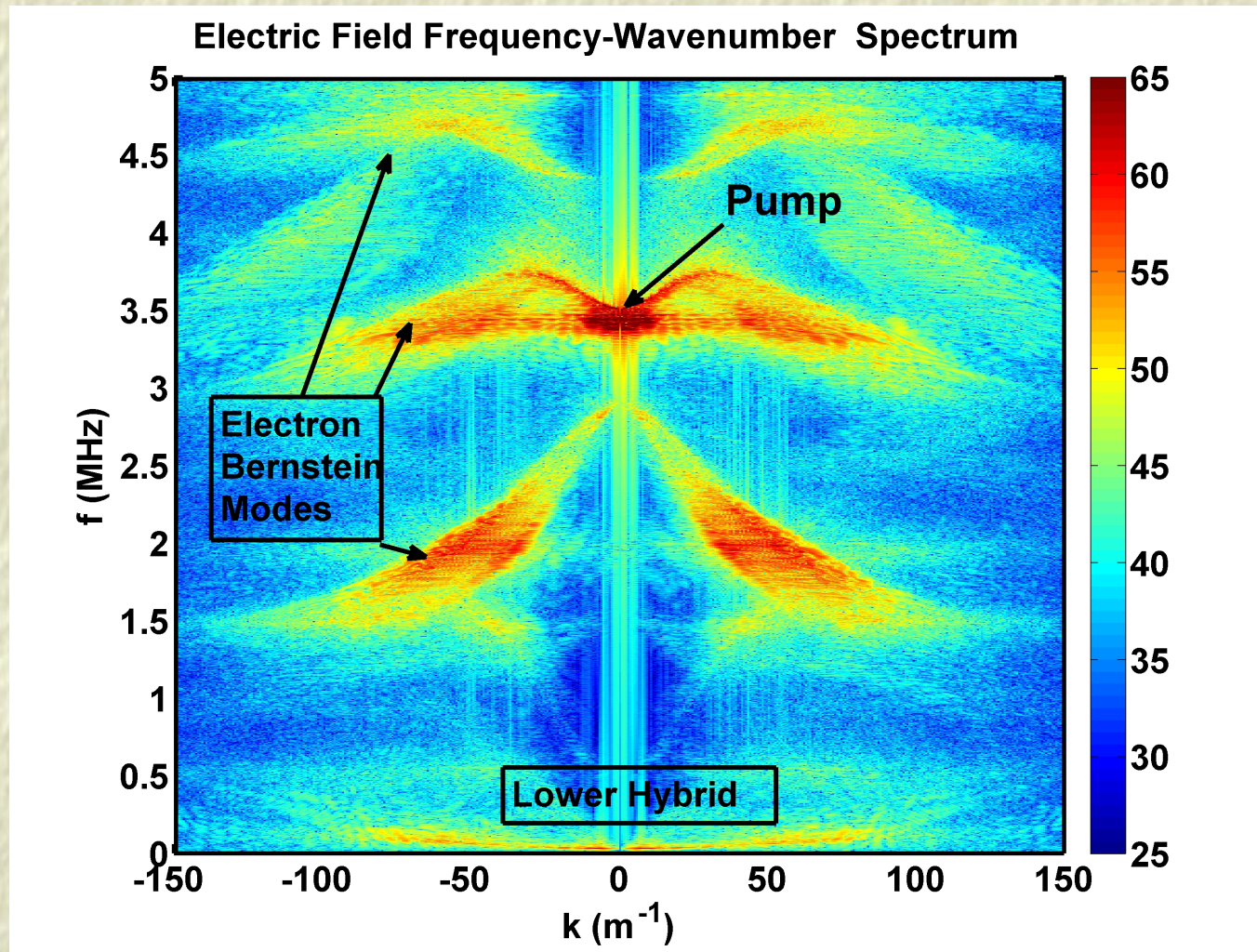
## Electron distribution function at different times



Electron distribution is flattened and widened — bulk heating but no high-energy tails.



## Coupling upper hybrid waves to EB and LH waves

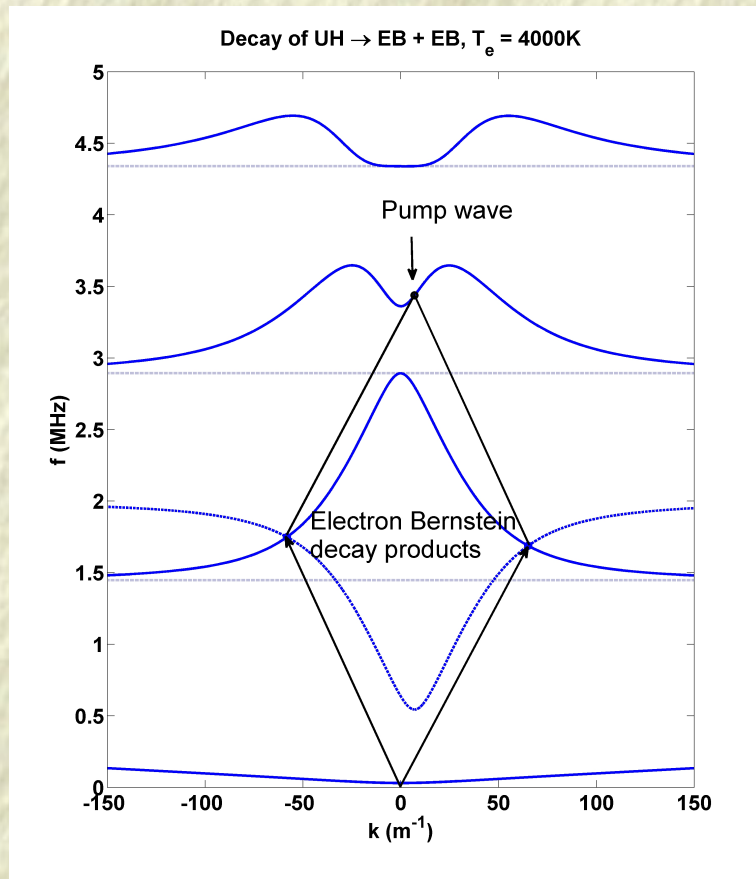
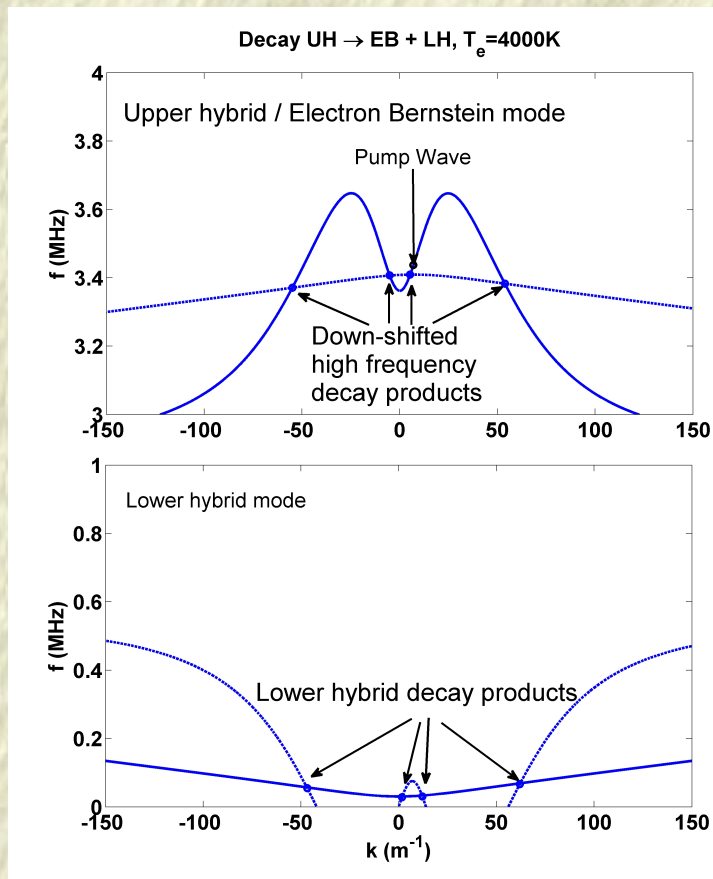


First three electron Bernstein modes and lower hybrid waves are visible.



## 3-wave decay scenarios

Matching conditions:  $\omega_0 = \omega_1 + \omega_2$ ,  $\mathbf{k}_0 = \mathbf{k}_1 + \mathbf{k}_2$



Also potentially 4-wave decay and UH wave collapse taking place.



## Comparison: Stochastic heating by an electrostatic wave

Equations of motion for an electron in an electrostatic wave perpendicular to the magnetic field

$$m \frac{d\mathbf{v}^{(j)}}{dt} = -eE_0 \sin(kx^{(j)} - \omega t) \hat{\mathbf{x}} - e\mathbf{v}^{(j)} \times B_0 \hat{\mathbf{z}}, \quad \frac{dx^{(j)}}{dt} = v_x^{(j)}$$

Normalized model equations

$$\frac{du_x^{(j)}}{dt} = -A \sin(u_y^{(j)} - \Omega t) - u_y^{(j)}, \quad \frac{du_y^{(j)}}{dt} = u_x^{(j)}$$

where  $A = \frac{mkE_0}{eB_0^2}$  and  $\Omega = \omega/\omega_{ce}$ ,  $\omega_{ce} = eB_0/m$ . Typically  $A > 1$  leads to stochastic motion of the particles and to rapid heating of the plasma.

Has been extensively studied in the past:

M. Balikhin et al., Phys. Rev. Lett. **70**, 1259 (1993). → Electron heating by shocks

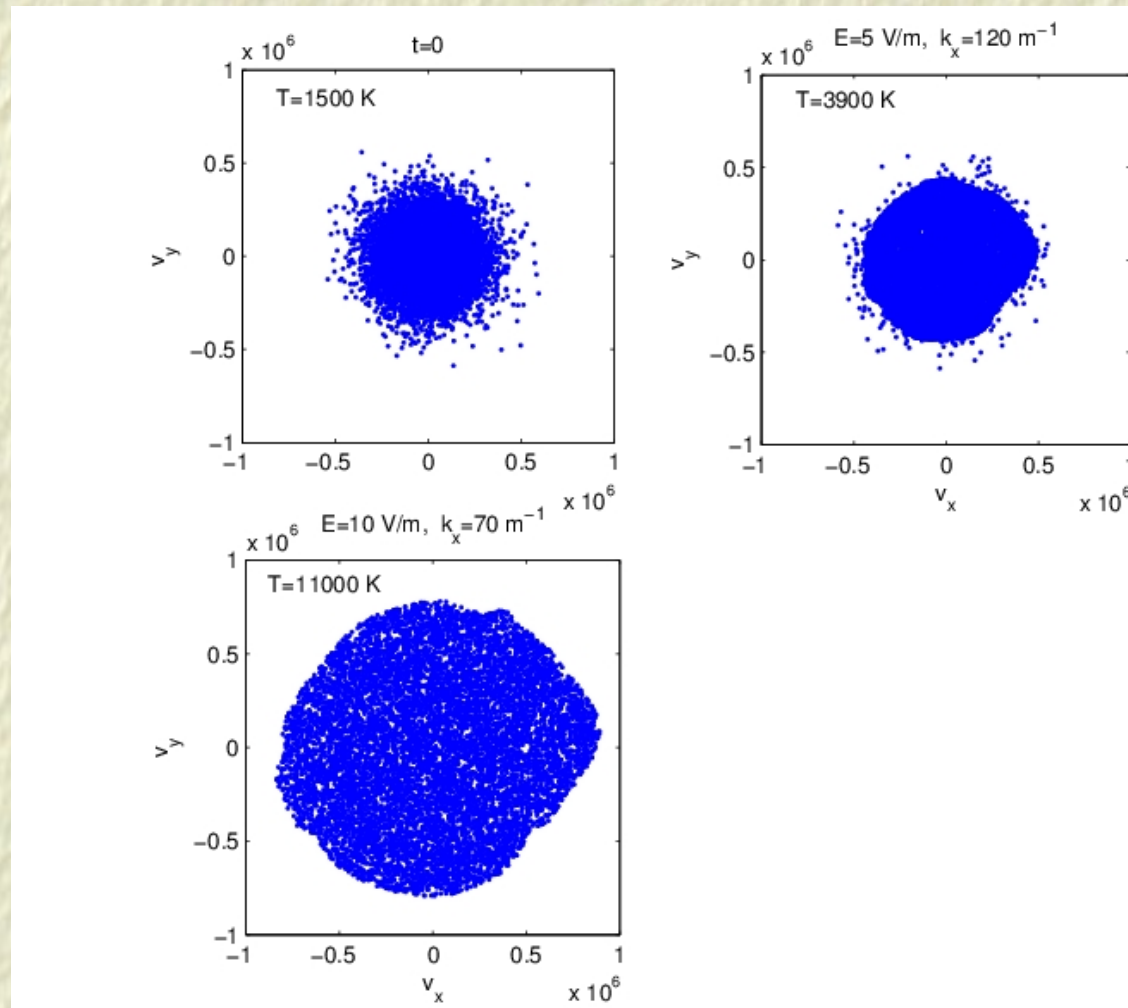
J. McChesney et al., Phys. Rev. Lett., **59**, 1436 (1987). → Ion heating by drift waves

C. F. F. Karney, Phys. Fluids **21**, 1584 (1978). → Ion heating by lower hybrid waves

A. Fukuyama et al., Phys. Rev. Lett. **38**, 701 (1977) → Ion heating near gyroharmonics.



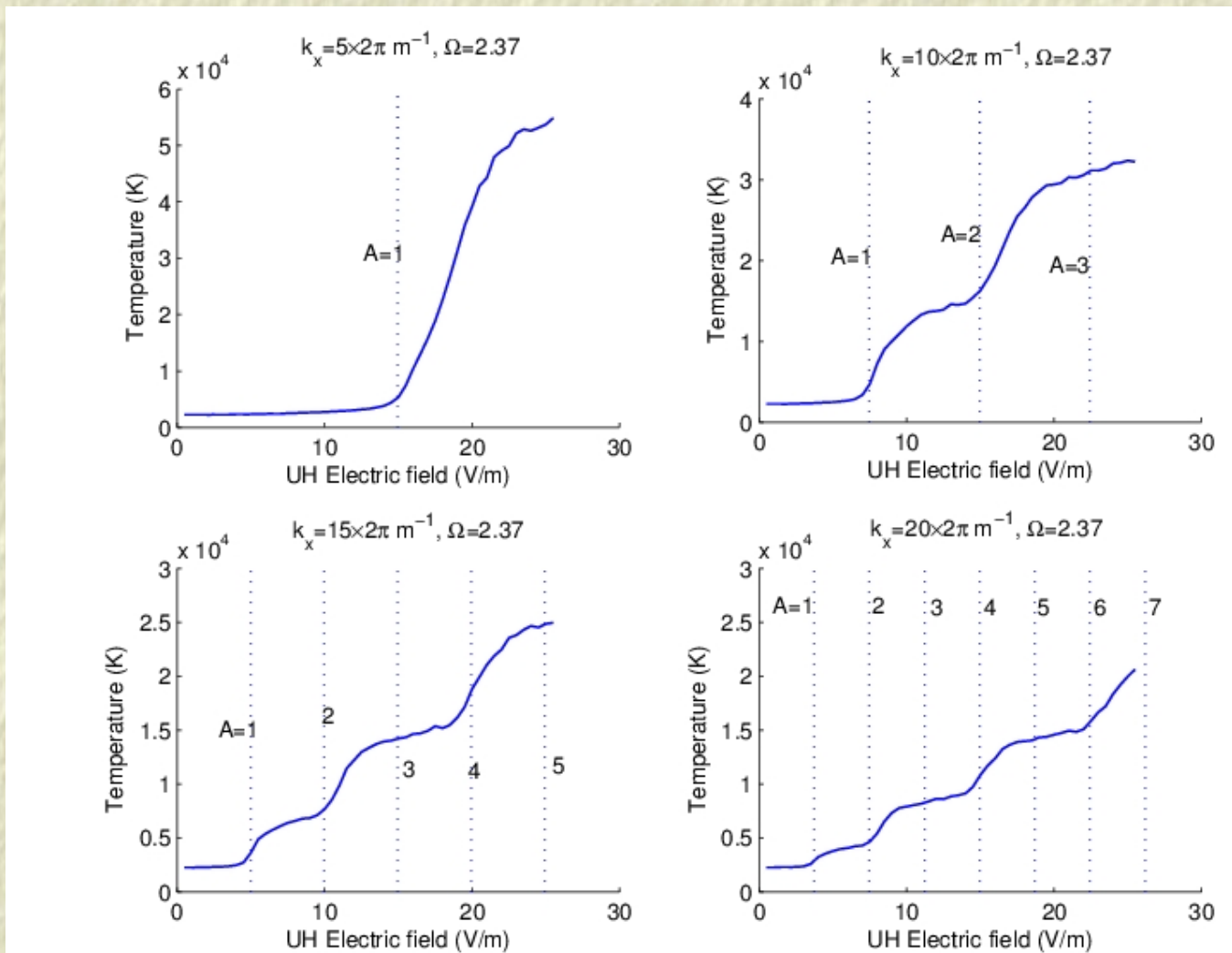
## Electron distribution function



Test particle simulations  $10^4$  particles, simulation times a few hundred gyroperiods. Flat-topped electron distributions are developed. No suprathermal tails.



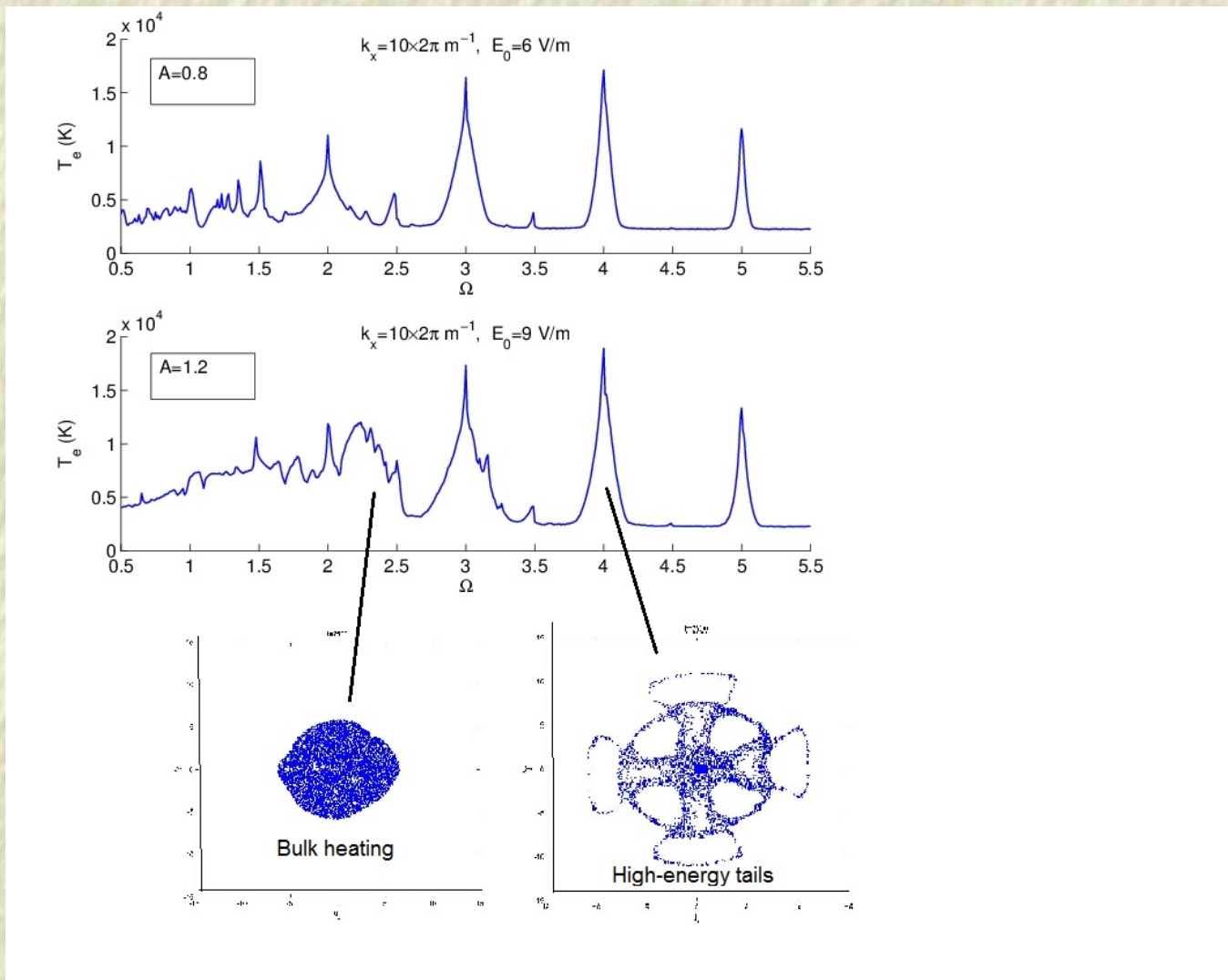
## Temperature dependence on amplitude



Each point on the curve represents one test particle simulation.



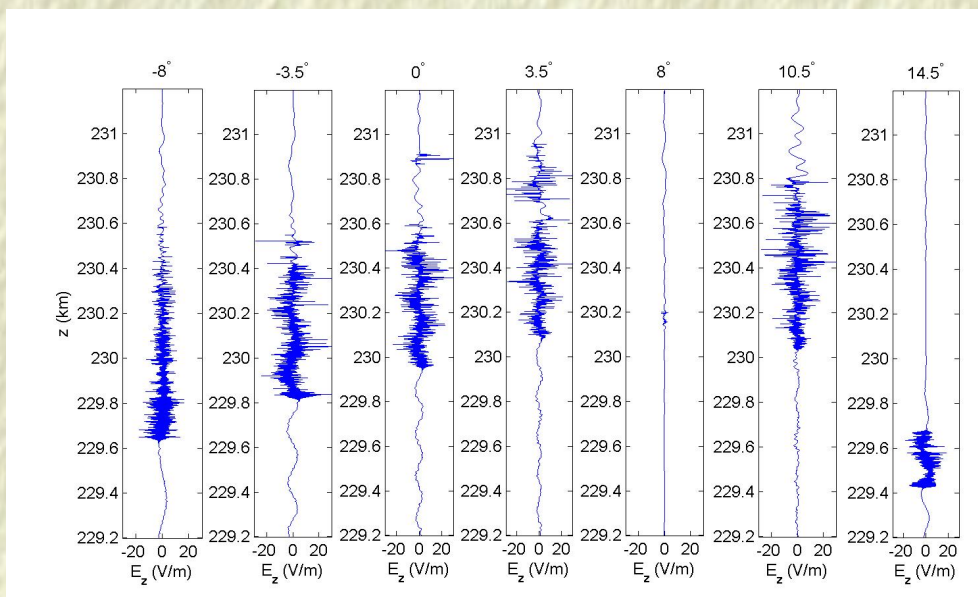
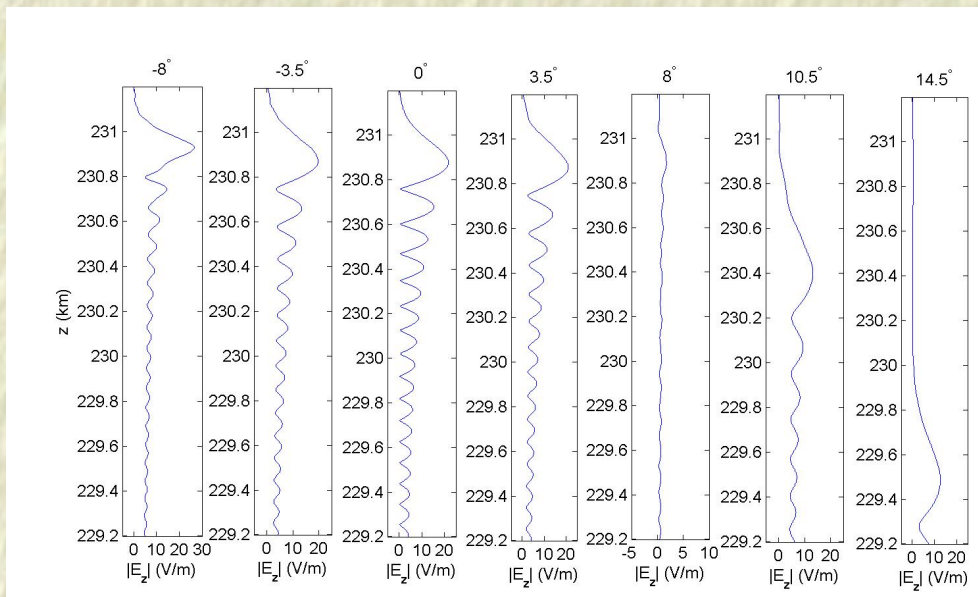
# Temperature dependence on frequency



Temperature peaks near cyclotron harmonics. Rises between cyclotron harmonics for  $A > 1$ .



# Electron acceleration by strong Langmuir turbulence



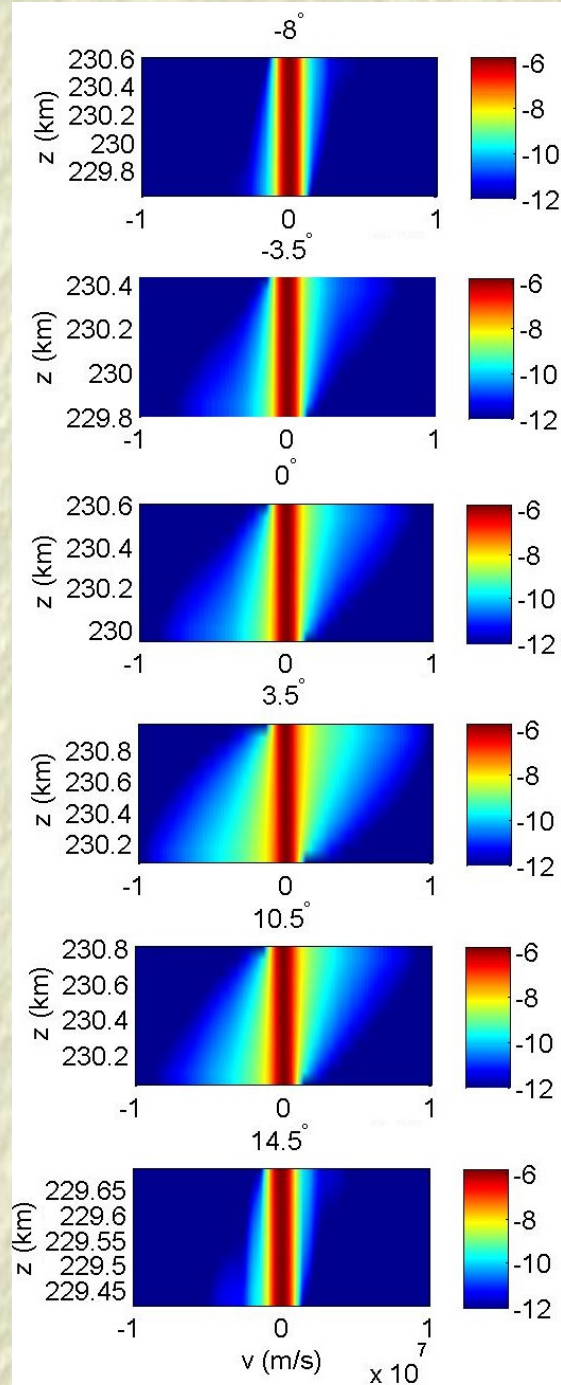
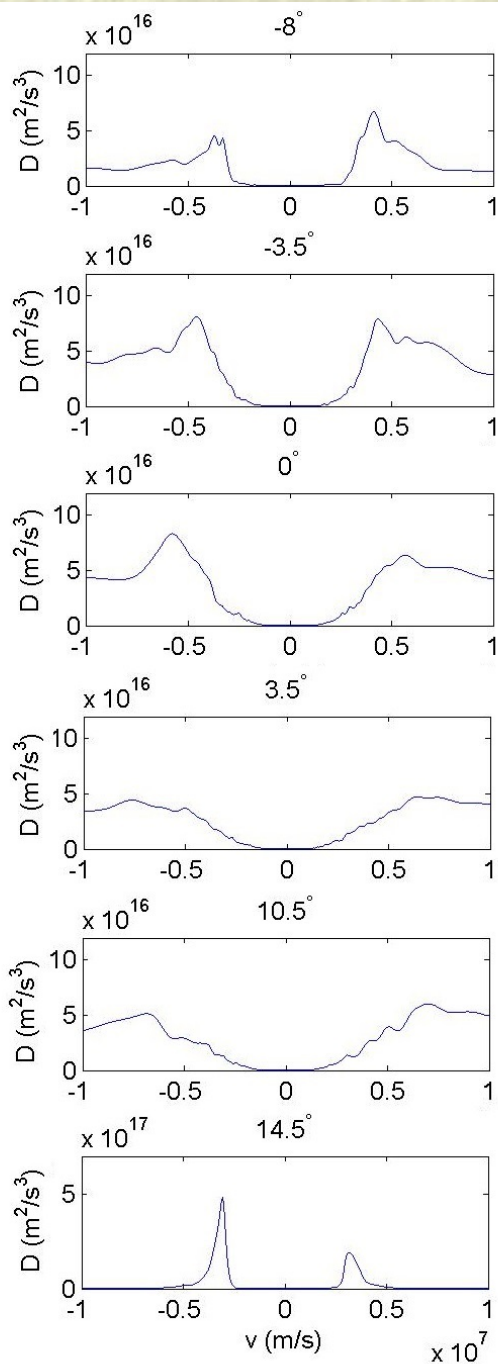
Electromagnetic wave  
breaks up into  
small-scale electromagnetic  
turbulence via parametric  
instabilities creating  
strong Langmuir turbulence

Most important:  
4-wave oscillating two-stream  
instability creating localized  
wave envelopes accelerating  
electrons



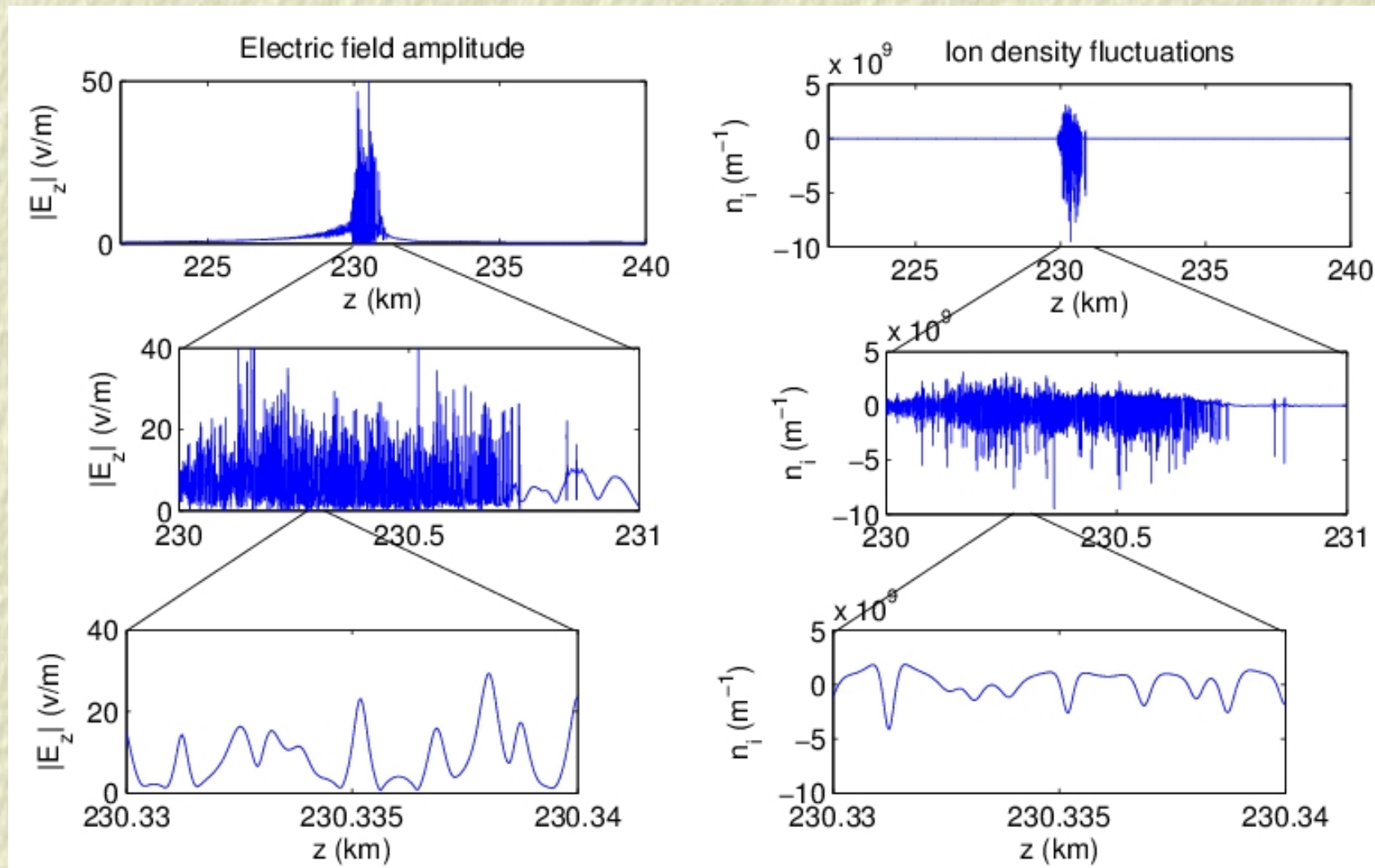
**Diffusion coefficients  
and Fokker-Planck  
solutions  
(velocity distribution)  
for different  
angles of incidence**

**Most significant  
acceleration at  
 $3.5^\circ$  and  $10.5^\circ$**





## Physics at different length-scales



Small-scale strong Langmuir turbulence: few tens of centimetre structures.  
Large amplitude electric field envelopes trapped in density cavities.



## Some notes about the Vlasov simulations

Electron Vlasov-Poisson system with stationary ions

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} - E \frac{\partial f}{\partial v} = 0$$

$$\frac{\partial E}{\partial x} = 1 - n_e$$

$$n_e = \int_{-\infty}^{\infty} f(x, v, t) dv$$

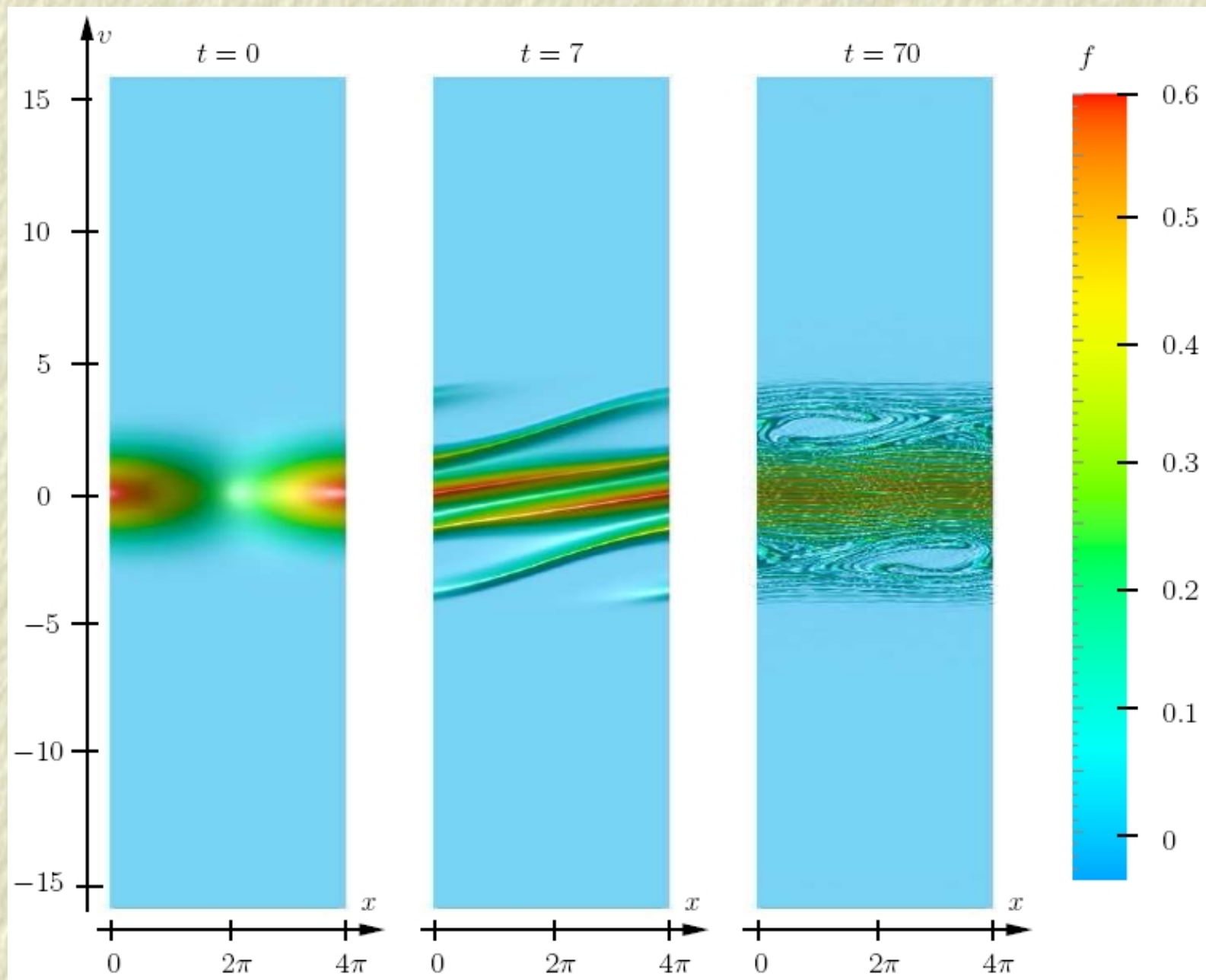
Initial condition

$$f(x, v, t = 0) = (2\pi)^{-1/2} [1 + A \cos(kx)] \exp(-v^2/2)$$

with  $A = 0.5$ ,  $k = 0.5$

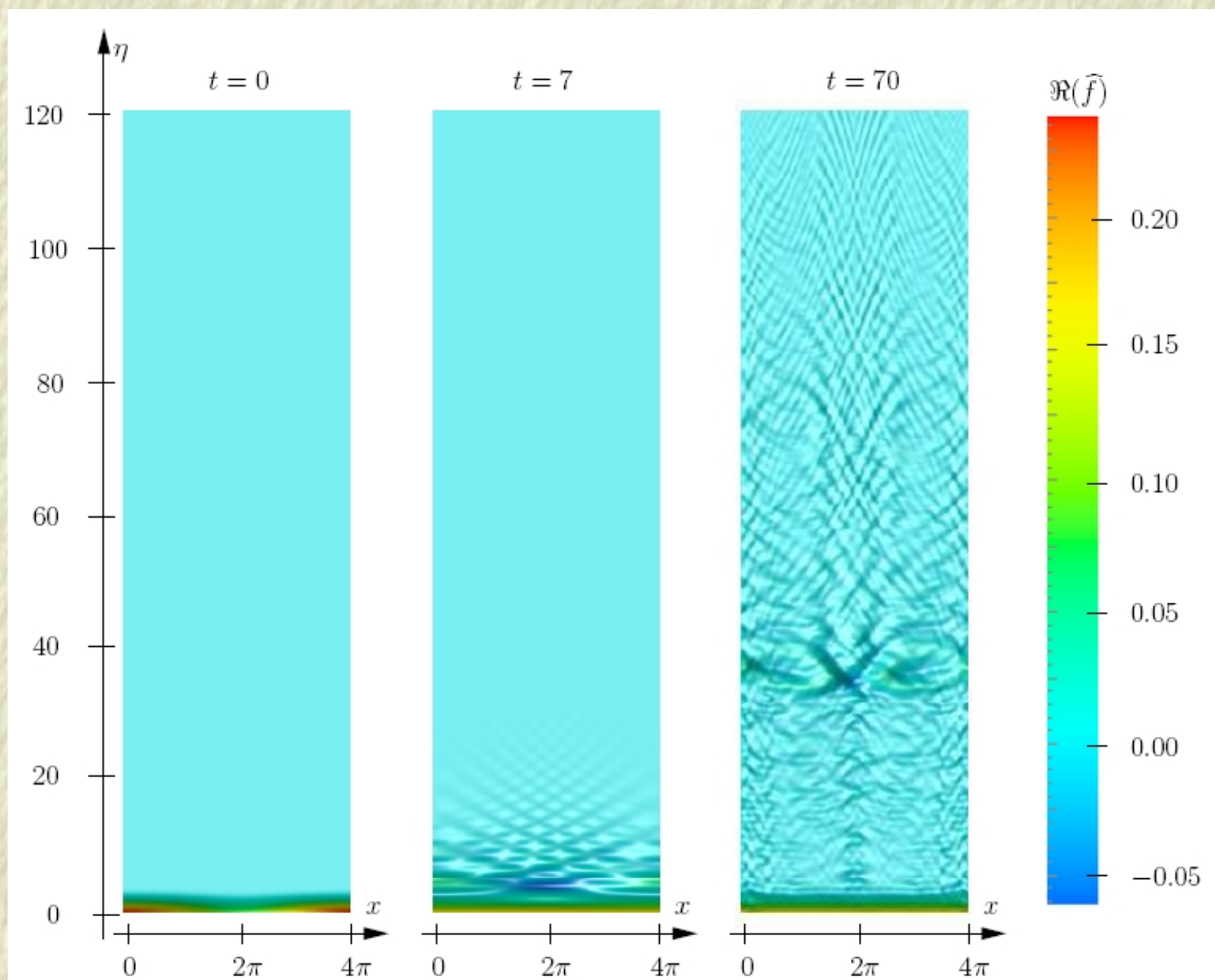


# Electron phase space distribution

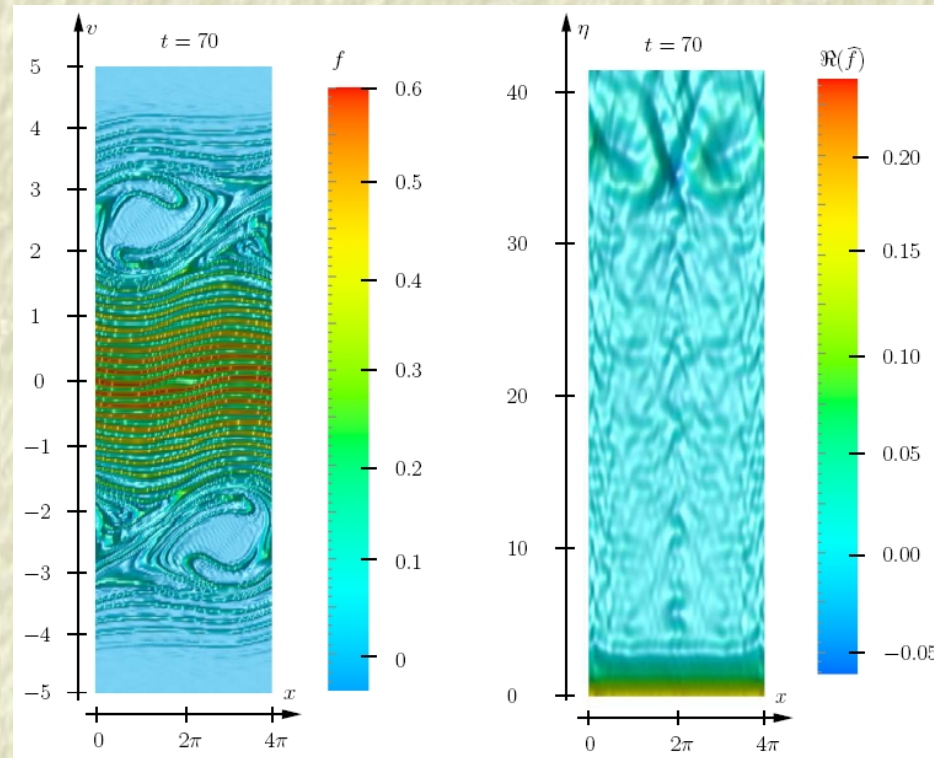




## Fourier transformed velocity space



## Closeup of solution



- Problems to calculate  $v$  derivatives and integrals numerically!
- Filamentation in  $v$  space gives rise to wave packet in  $\eta$  space.
- Strategy: Solve Vlasov equation Fourier transformed in velocity space.
- The highest harmonics in velocity space are allowed to propagate over the boundary at  $\eta = \eta_{\max}$  and to be removed from the calculation.
- Introduces a minimum dissipation in velocity space: Very little numerical heating.



## Fourier transformed Vlasov-Poisson system

(Stationary ions, normalized equations)

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} - E \frac{\partial f}{\partial v} = 0, \quad \frac{\partial E}{\partial x} = 1 - \int_{-\infty}^{\infty} f(x, v, t) dv$$

The Fourier transform pair

$$f(x, v, t) = \int_{-\infty}^{\infty} \tilde{f}(x, \eta, t) e^{-i\eta v} d\eta, \quad \tilde{f}(x, \eta, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(x, v, t) e^{i\eta v} dv$$

gives

$$\frac{\partial \tilde{f}}{\partial t} - i \frac{\partial^2 \tilde{f}}{\partial x \partial \eta} + E \eta \tilde{f} = 0, \quad \frac{\partial E(x, t)}{\partial x} = 1 - 2\pi \tilde{f}(x, \eta, t)_{\eta=0}$$

Well-posed outflow boundary conditions for  $\tilde{f}$ :

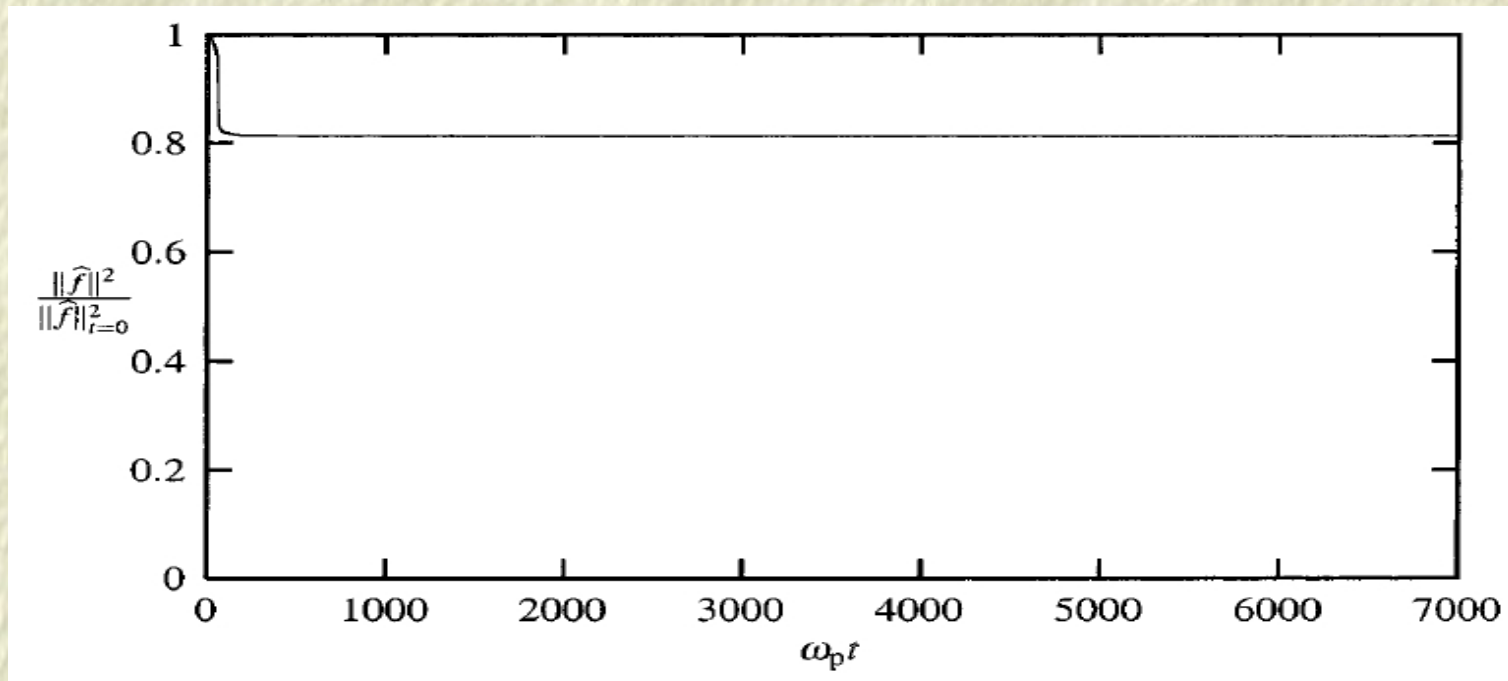
$$\tilde{f} = F^{-1}[H(k)F\tilde{f}] \quad \text{at } \eta = \eta_{max}$$

where  $F$  and  $F^{-1}$  are the forward and inverse spatial Fourier transforms.

## What is flowing out at the outflow boundary?

With the outflow boundary conditions, one can show that the entropy-like functional is non-increasing

$$\frac{d}{dt} \|\tilde{f}\|_2^2 = \frac{d}{dt} \int_0^L \int_{-\eta_{max}}^{\eta_{max}} |\tilde{f}|^2 d\eta dx \leq 0$$



Holds also for the  $2 \times 2$  and  $3 \times 3$  dimensional Vlasov equations



## Development of Vlasov code

- ❑ The Fourier method has been developed in  $1 \times 1$ ,  $2 \times 2$  and  $3 \times 3$  dimensions.
  - ☛ Electromagnetic and electrostatic options
  - ☛ B. Eliasson, Transport Theory and Statistical Physics **39**, 387 (2011) [Proceedings of Vlasovia 2009]
  
- ❑ Fully parallelized in  $1 \times 1$  and  $2 \times 2$  dimensions (using MPI), working on parallelization in  $3 \times 3$  dimensions.
  - ☛ B. Eliasson, Comput. Phys. Commun. **170**, 205 (2005).
  - ☛ L. K. S. Daldorff & B. Eliasson, Parallel Comput. **35**, 109 (2009).
  
- ❑ Various versions, including  $3 \times 3$  hybrid-Vlasov,  $2 \times 2$  Darwin,  $2 \times 2$  Wigner solvers.



## Summary

- ❑ Formation of descending aurora/ionization fronts in experiments. Ionosphere used as a plasma laboratory!
- ❑ Wave-wave interactions: Mode conversion and parametric instabilities creating short wavelength electrostatic waves
- ❑ Wave-particle interactions leading to acceleration of electrons
  - ☛ Stochastic heating. Large amplitude electron Bernstein waves perpendicular to the magnetic field makes the particle orbits unstable, leading to bulk heating of electrons
  - ☛ "Quasilinear" acceleration: Diffusion in velocity space by strong Langmuir turbulence along magnetic field leading to the formation of high-energy tails.
- ❑ Vlasov simulations used to electron heating by Bernstein waves
- ❑ Physics on different length-scales tens of km to 0.1 m, and time-scales microseconds to minutes.